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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Rosario Gennaro, et al.      Examiner: Harish T. Dass  
Serial No: 09/734,086      Group Art Unit: 3628  
Filed: December 11, 2000      Docket: YOR920000598US1 (13893)  
For: SECURE ELECTRONIC  
STOCKS AND OTHER  
TITLES AND INSTRUMENTS      Dated: July 15, 2004

Confirmation No.: 3901

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

OFFICIAL

AMENDMENT UNDER 37 C.F.R. §1.111

Dear Sir:

In response to the Office Action dated April 21, 2004, please amend the subject patent application as follows.

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CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Dated: July 15, 2004

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# Fax

**Examiner:** Harish T. Dass  
**To:** Group Art Unit: 3628  
**Confirmation No.:** 3901  
**(703) 872-9306**  
**Fax:** **From:** John S. Sensny, Esq.  
**Phone:** **Date:** July 15, 2004 *July 15, 2004*  
**Re:** Re: Rosario Gennaro, et al.  
U.S. Patent Appln. No. 09/734,086  
Filing Date: December 11, 2000  
Our Docket: YOR920000598US1 (13893)  
**CC:**

## AMENDMENT UNDER 37 C.F.R. §1.111

Transmitted herewith are the following:

1. Amendment Transmittal Letter (in duplicate);
2. Amendment under 37 C.F.R. §1.111;
3. Certificate of Transmission by Facsimile, dated July 15, 2004 (in duplicate).

**For:**

**Applicant:** Rosario Gennaro, et al.  
**U.S. Patent Appln. No.** 09/734,086  
**Filing Date:** December 11, 2000  
**Our Docket:** YOR920000598US1 (13893)  
**Title:** "SECURE ELECTRONIC STOCKS AND OTHER TITLES AND INSTRUMENTS"

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**CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)**

Applicant(s): Rossario Gennaro, et al.

Docket No.

YOR920000598US1 (13893)

Application No.  
09/734,086Filing Date  
December 11, 2000Examiner  
Harish T. DassGroup Art Unit  
3628

Invention: SECURE ELECTRONIC STOCKS AND OTHER TITLES AND INSTRUMENTS

I hereby certify that this

**AMENDMENT UNDER 37 C.F.R. §1.111***(Identify type of correspondence)*

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on July 15, 2004

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John S. Sensny

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## AMENDMENT TRANSMITTAL LETTER (Large Entity)

Applicant(s): Rosario Gennaro, et al.

Docket No.

YOR920000598US1 (13893)

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/734,086	December 11, 2000	Harish T. Dass	23389	3628	3901

Invention: SECURE ELECTRONIC STOCKS AND OTHER TITLES AND INSTRUMENTS

COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

## CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	16 -	20 =	0	x \$18.00	\$0.00
INDEP. CLAIMS	3 -	3 =	0	x \$86.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00

No additional fee is required for amendment.

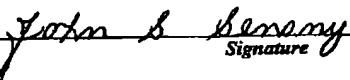
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Any additional filing fees required under 37 C.F.R. 1.16.

Any patent application processing fees under 37 CFR 1.17.



Dated: July 15, 2004

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I certify that this document and fee is being deposited by  
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